



# 3 sector  
PATENT  
450100-03493

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Susumu SENSU  
Serial No. : 09/957,496  
Filed : September 20, 2001  
For : DISK-LIKE RECORDING MEDIUM, DISK RECORDING  
APPARATUS AND DISK RECORDING METHOD, AND  
DISK PLAYBACK APPARATUS AND DISK PLAYBACK  
METHOD  
Art Unit : 2652

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on December 21, 2001

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or  
Registered Representative



Signature

December 21, 2001

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated October 25,  
2001 on the above application, a Declaration duly signed by the  
inventor, and a check in the amount of the required \$130.00  
surcharge. By separate cover, we are filing the Assignment and  
the \$40.00 fee for recording same.

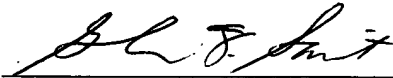
PATENT  
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Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By:   
Glenn F. Savit  
Reg. No. 37,437  
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Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/957,496	09/20/2001	Susumu Senshu	450100-03493

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CONFIRMATION NO. 3144

## FORMALITIES LETTER



\*OC00000006970205\*

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/05/2002 SDENBOB1 00000033 09957496

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